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#3

DOCKET NO.: 5988-036-27

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

RECEIVED  
MAR 26 2002  
TC 1700

Re: Serial No.: 09/987,916  
Applicant(s): AKI SUZUKI, ET AL.  
Filing Date: NOVEMBER 16, 2001  
For: RADIATION-SENSITIVE RESIN COMPOSITION  
Group Art Unit: 1752  
Examiner:

SIR:

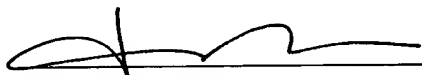
Attached hereto for filing are the following papers:

INFORMATION DISCLOSURE STATEMENT  
RELATED CASE STATEMENT  
FORM PTO-1449  
CITED DOCUMENTS (33)

Our check in the amount of \$ -0- is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R. 1.136 for any necessary extension of time to make the filing of the attached documents timely, please charge or credit the difference to Deposit Account No. 50-1442. Further, if these papers are not considered timely filed, then a request is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

PIPER MARBURY RUDNICK & WOLFE LLP

  
Steven B. Kelber  
Attorney of Record  
Registration No.: 30,073

Lisa K. Norton  
Registration No.: 44,977

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION: AKI SUZUKI, ET AL.

GROUP ART UNIT: 1752

SERIAL NUMBER: 09/987,916

EXAMINER:

FILED: NOVEMBER 16, 2001

FOR: RADIATION-SENSITIVE RESIN COMPOSITION



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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicant(s) wish(es) to disclose the following information.

REFERENCES

- Applicant(s) wish(es) to make of record the documents listed on the attached Form PTO-1449. Copies of the listed documents are attached, where required, as are either statements of relevancy or any readily available full or partial English translations of any non-English-language documents.

RELATED CASES

- Attached is a list of Applicant's(s') pending applications and issued patents which may be related to the present application. Copies of the documents, where required, are attached along with Form PTO-1449.

CERTIFICATION

The undersigned certifies that

- ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign or international patent office in a counterpart foreign or international application for the first time (to the knowledge of the undersigned, having made reasonable inquiry) not more than three months prior to the filing of this statement.
- ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign or international patent office in a counterpart foreign or international application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this statement.

BASIS FOR CONSIDERATION

This Information Disclosure Statement is filed:

- ☐ without fee and within three months of the filing date of the application.
- ☐ without fee and within three months of the date of entry of the U.S. national stage.
- without fee and before the mailing date of a first Office Action on the merits (to the knowledge of the undersigned).
- ☐ without fee and with the appropriate certification above.
- ☐ without fee and with a new CPA application.
- ☐ without fee and with a Request for Continued Examination.
- ☐ with fee and before the mailing date of any of a Final Office Action, Notice of Allowance or an action that otherwise closes prosecution (to the knowledge of the undersigned).
- ☐ with fee, appropriate certification above, and before payment of the Issue Fee.

DEPOSIT ACCOUNT

- Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 50-1442.

Respectfully submitted,

PIPER MARBURY RUDNICK & WOLFE LLP

A handwritten signature in black ink, appearing to read "Steven B. Kelber".

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**LIST OF RELATED CASES**

<b>Docket Number</b>	<b>Serial or Patent Number</b>	<b>Filing or Issue Date</b>	<b>Status or Patentee</b>
311-140-0 FWC I	5,215,857	June 1, 1993	Patented
311-231-0 CONT	5,238,774	August 24, 1993	Patented
311-264-0 CONT	08/053,500	April 28, 1993	Pending
311-280-0 CONT	5,405,720	April 11, 1995	Patented
311-297-0 CONT	5,494,784	February 27, 1996	Patented
311-320-0 FWC	5,925,492	July 20, 1999	Patented
311-357-0	08/797,620	February 7, 1997	Pending
311-403-27 CONT	09/197,699	November 23, 1998	Pending
311-424-0 CONT	6,020,104	February 1, 2000	Patented
5988-016-27 CONT	6,228,554 B1	May 8, 2001	Patented
5988-018-27 REISSUE	RE37,179 E	May 15, 2001	Patented
5988-019-27	6,337,171 B1	January 8, 2002	Patented
5988-021-27	6,280,900 B1	August 28, 2001	Patented
5988-022-27	09/558,067	April 26, 2000	Pending
5988-023-27 CONT	6,270,939 B1	August 7, 2001	Patented
5988-024-27	09/662,160	September 14, 2000	Pending
5988-026-27	09/707,939	November 8, 2000	Pending
5988-028-27	09/741,334	December 21, 2000	Pending
5988-029-27	09/774,714	February 1, 2001	Pending
5988-030-27	09/824,224	April 3, 2001	Pending
5988-031-37	09/874,977	June 7, 2001	Pending

5988-032-27	09/878,274	June 12, 2001	Pending
5988-033-27	09/879,894	June 14, 2001	Pending
5988-034-27	09/953,941	September 18, 2001	Pending
5988-036-27*	09/987,916	November 16, 2001	Pending

The cases listed on this Notice of Related Cases include cases which may contain information that is material to patentability. The listing of a case on this Notice should not be taken as an indication or admission that any information contained therein is material. Prior art for each case listed on this Notice may have been cited. **The files corresponding to the listed cases, which are available to the Examiner, may not have not been examined to ascertain the materiality of any prior art therein.** Accordingly, the Examiner is requested to review the file for each case listed on this Notice in order to assess the materiality of such prior art.

\*Present application; listed for information.